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Challenges to getting evidence into practice: Expert clinician perspectives on psychotherapy for personality disorders

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Keywords

practice, getting, expert, clinician, perspectives, psychotherapy, personality, disorders, evidence, into, challenges

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Challenges to getting evidence into practice: Expert clinician perspectives on psychotherapy for personality disorders

Short title: Challenges to getting evidence into practice

Research Article

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Background: No known recent studies have investigated service provision for personality disorder in Australia, despite international studies suggesting provision of such services is sub-optimal.

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Declaration of Interests: Nil

Key Words: Personality disorders; psychotherapy; evidence-based practice; treatment guidelines

Personality disorders are highly prevalent in mental health services and are associated with substantial morbidity, functional impairment, and suicidality (Lieb et al., 2004). Personality disorders are marked by chronic patterns of self-defeating behaviours, and an inability to maintain interpersonal relationships (Carter & Grenyer, 2012). The goal to lead functional, socially connected lives is often out of reach without treatment (Grenyer, 2007). Of the personality disorder subtypes, borderline is the most common in mental health services, estimated to be present in 22.6% of psychiatric outpatients, with a greater lifetime utilisation of medications and psychotherapy (Korzekwa et al., 2008). Borderline personality disorder is also the most widely researched personality disorder (NICE, 2009).

The National Institute for Clinical Excellence (NICE; 2009) has published treatment guidelines for antisocial and borderline personality disorders in the United Kingdom, based upon expert and service user opinions and systematic review of effectiveness of interventions (Harding et al., 2010). Further, the American Psychiatric Association (APA; 2001) practice guidelines for the treatment of borderline personality disorder continue to be used to inform good clinical practice (McMain et al., 2009).

NICE guidelines suggest that Cognitive Behaviour Therapy be employed for the treatment of antisocial personality disorder, however, evidence for psychological treatment of this disorder is sparse (Gibbon et al., 2010; NICE, 2009). Evidence for specific psychological treatments for other personality disorders is further limited, with no current clinical guidelines or systematic reviews. However, evidence shows that a range of psychotherapies are effective in attenuating borderline psychopathology, including Dialectical Behaviour Therapy (DBT; Leichsenring et al., 2011; Stoffers et al., 2012); schema-focused therapy and transference-focused dynamic psychotherapy (Zanarini, 2009); and mentalization-based treatment (Bateman & Fonagy, 2009). However, literature suggests there is a gap between evidence and practice in treatment settings (Gotham, 2006).

People in Australia with personality disorder most commonly engage in outpatient treatment in community mental health facilities, with some States having specialised personality disorder services. However, Australian treatment guidelines for personality disorders are old (The Quality Assurance Project, 1990; 1991a; 1991b) and the Australian Psychological Society (APS; 2010) review of empirically-based treatments only reviews selected literature. There have not been any recent Australian treatment guidelines published, although these are currently being developed by the Australian National Health and Medical Research Council and also the Project Air Strategy for Personality Disorders (2010). Often in the development of such guidelines, views of experienced clinicians are sought as a first stage in developing good treatment practices, along with up-to-date empirical studies of treatment efficacy (e.g. NICE, 2009).

Another study has examined such views from clinicians. Ogrodniczuk, Kealy and Howell-Jones (2009) surveyed Canadian clinicians about treatments they provided, and their perspectives on the optimal treatments for borderline personality disorder. Ogrodniczuk et al. (2009) found discrepancies between treatment characteristics provided and characteristics clinicians believed to be optimal. High numbers of the clinicians stated that they provided case or crisis management for borderline personality disorder patients, yet low numbers of clinicians believed these treatments were optimal. Whilst the majority of the Canadian clinicians indicated that they believed DBT to be the optimal treatment for borderline personality disorder, clinicians showed a relatively low awareness of other empirically-supported therapies (Ogrodniczuk et al., 2009).

The present study aims to gain further insight into the relationship between clinician practices and opinions, to examine the reach of research into practice within a group of experienced Australian clinicians. Such data can assist in monitoring the uptake of evidence-

based practices, as well the opinions of clinicians in the field, in developing treatment recommendations.

Method

Participants

Participants were 60 experienced clinicians who attended the fourth annual Treatment of Personality Disorder Conference held in the State of New South Wales, Australia. The clinicians who attend this conference are specifically invited because they are experienced senior clinicians and psychotherapists involved in the major treatment programs for personality disorder within the State. Most have had advanced postgraduate training at a doctoral level in personality disorders, and many have also undertaken intensive training in one of the major approaches to personality disorder treatment (e.g. DBT, MBT, Schema, TFP, etc). Table 1 outlines sample characteristics.

TABLE1 HERE

Procedure

Clinicians answered 12-items regarding their demographics (Table 1). They then completed a 12-item questionnaire (Table 2 and 3) derived from the items used by Ogrodniczuk et al. (2009). Ethics approval was obtained from the Institutional Review Board and clinicians consented to participating in the research.

The survey consisted of questions regarding type, format and duration of treatments clinicians provided for personality disorders, and their opinion as to the most optimal treatment type, format and duration. The survey also asked for clinicians' opinions about current availabilities of treatments for personality disorders, and their personal confidence

level in treating personality disorders. Items used were fixed responses, with yes/no, rating scales and forced-choice options. The option was also given to describe treatments provided that were not listed.

Statistical Analyses

Frequencies and proportions were calculated for respondents in each category. The significance of differences between provided and optimal treatment options were calculated using z-ratios and probabilities for the difference between independent proportions.

Results

TABLE2 HERE

Treatment provided by clinicians

The majority of the Australian sample of clinicians studied here provide DBT at their service (86.7%), but over half of the clinicians also provide crisis management (65%), case management (55%), CBT (58.3%) and supportive psychotherapy (58.4%) as treatments for personality disorders. Clinicians did not consistently indicate that they commonly provided any treatments other than the ones listed in the survey. Clinicians most commonly provide a combined individual and group treatment format (63.3%). Few clinicians provide group treatment only (3.3%). Clinicians most commonly indicated that they provide long-term treatment lengths of more than 40 sessions (43.3%), closely followed by varied treatment lengths dependent on client/presentation (38.3%). Fewest clinicians (5%) provide brief treatments of 1-10 sessions.

Opinions of clinicians regarding optimal treatment

Over half of the clinicians indicated they believe DBT to be the optimal treatment for personality disorders (64.7%). The next largest group believed psychodynamic therapy to be the optimal (17.6%), with no one indicating CBT to be optimal. The vast majority of clinicians identified a combined individual and group format as the optimal treatment format (90.9%), with no one endorsing group-only treatment formats as optimal. Over half of clinicians believe that long-term treatment is optimal (62.1%), with the next largest group believing that treatment length should vary depending on client/presentation (31%). No one identified brief treatments of 1-10 sessions to be optimal.

Clinicians' perceptions of current level of care

About half of the Australian sample of clinicians perceive availability of treatment in New South Wales to be fair (55.2%), but a large group also perceived availability to be poor (37.9%). Clinicians most commonly believed that lack of resources is the most significant barrier to treatment within NSW (51%). 25.5% believed stigma regarding personality disorders is the most significant barrier. Clinicians most commonly rated themselves as 'quite confident' in treating people with personality disorders (42.1%). 38.6% rated themselves as 'somewhat confident'. The vast majority of the clinicians indicated that treatment of people with personality disorders should be a high priority within the health system (96.7%); felt there is a need for more training on the treatment of personality disorders (98.3%); and, were willing to participate in training (98.2%).

TABLE3 HERE

Treatment provided by services versus clinicians' opinions of optimal treatment

For a number of treatments, significantly more clinicians provide treatments that they believe to be less optimal. Specifically, significantly more clinicians provide CBT to treat personality disorders (58.3%) with no one believing this to be optimal (0%); and significantly more clinicians provide supportive psychotherapy (58.4%) with only 3.9% indicating this as the most optimal treatment. Further, significantly more clinicians provide crisis management (65%) compared to those who indicate this to be optimal (5.9%); more clinicians provide case management (55%) compared to those who indicate this to be optimal treatment (7.8%); and more clinicians provide DBT (86.7%) than those who think it is the optimal treatment (64.7%).

Close to 90% of the clinicians felt that combined individual and group therapy was the most optimal treatment format. However, only just over half actually provided combined treatment. Similarly, two-thirds believed long-term treatment is optimal despite less than half being able to provide therapy longer than 40 sessions.

Cross-Study Comparison

Whilst some differences between the samples and methodologies of the present study and that of Ogrodniczuk et al. (2009) are recognised (face-to-face targeted survey versus general mail-out), out of interest we compared the results of the Canadian and Australian samples of clinicians. It was found that of treatment provided, significantly more of the Australian sample of clinicians provided crisis management (18.4%, $N=212$, $n=39$), than did the Canadian sample of clinicians (8.6%, $N=81$, $n=7$), $z=2.05$, $p=.040$. Significantly more of the Canadian clinicians provided an individual treatment format (60%, $N=80$, $n=48$), than did the Australian sample of clinicians (33.3%, $N=60$, $n=20$), $z=-3.12$, $p=.002$, whereas more of the Australian clinicians provided a combined individual and group format (63.3%, $N=60$, $n=38$) than did the Canadian clinicians (28.8%, $N=80$, $n=23$) $z=2.74$, $p=.006$. Significantly

more of the Australian sample of clinicians varied treatment length based on client presentation (38.3%, N=60, n=23) than did the Canadian sample (14.1%, N=71, n=10), $z=3.19$, $p=.001$. In terms of clinicians' opinions regarding optimal treatment, significantly more of the Australian sample of clinicians thought that DBT was the most optimal treatment (64.7%, N=51, n=33) than did the Canadian clinicians (45.3%, N=106, n=48), $z=2.28$, $p=.023$, whereas more of the Canadian clinicians reported CBT to be the optimal treatment (11.3%, N=106, n=12) than did the Australian clinicians (0%, N=51, n=0) $z=-2.50$, $p=.012$. In terms of optimal treatment format, significantly more of the Australian clinicians thought that a combined group and individual format was optimal (90.9%, N=55, n=50), than did the Canadian clinicians (47.7%, N=86, n=41), $z=5.23$, $p=.000$, whereas more of the Canadian clinicians felt that group treatments alone were optimal (27.9%, N=86, n=24), than did the Australian clinicians (0%, N=55, n=0), $z=-4.30$, $p=.000$. Australian clinicians were clearer in their view that long-term treatments were optimal (62.1%, N=58, n=36) compared to the Canadian sample (42.4%, N=99, n=42), $z=2.38$, $p=.018$.

Discussion

This study aimed to gain insight into treatments provided for personality disorders by experienced Australian clinicians, and to compare this to treatments they consider to be optimal. There were discrepancies found between treatment characteristics clinicians provide for personality disorders within their services, and treatment characteristics they believe to be optimal, suggesting a gap between evidence-based treatments, practice within services, and clinician beliefs about best practice.

Most clinicians provide DBT, a combined individual and group therapy format, and long-term treatment for personality disorder. However, it appears that more clinicians provide CBT, supportive psychotherapy, crisis and case management, and DBT, than who actually

believe these treatments to be optimal. Notable proportions of clinicians provide individual therapy alone, despite a significantly lower proportion believing it optimal. The results suggest that treatments provided by clinicians are more diverse than those deemed optimal. It should be noted that although clinicians responded to the survey in terms of all personality disorders they work with, the evidence base for treatments for personality disorders other than borderline personality disorder is limited. However, research also suggests that the majority of clients presenting to health services have a borderline diagnosis (Korzekwa et al., 2008). Thus it seems that these clinicians may be aware of only some of the effective treatments for personality disorders. Despite the weight of evidence supporting DBT, in that it has the largest number of trials and therefore has a clearer evidence basis (NICE, 2009), there was less awareness of evidence for the range of emerging approaches such as psychodynamic and schema-based treatments. This may reflect the lack of training and education opportunities in evidence-based therapies, or sanctioned preferences by training programs. Considering the results indicated that 51% of clinicians felt that lack of resources significantly impacted treatment, it is also likely that service structure and resources impacted on the ability of clinicians to implement a range of evidence-based treatments. The preference of the Australian clinicians for long-term treatments is consistent with the NICE (2009) clinical guidelines for the treatment of borderline personality disorder, and the preference for combined individual and group treatment is also consistent with the APA (2001) treatment guidelines. The vast majority of clinicians felt there was a need for further training on the treatment of personality disorders, and indicated willingness to participate in this.

There were a number of discrepancies found between the current Australian sample of clinicians and the previous Canadian sample of Ogrodniczuk et al., (2009). The Australian sample of clinicians most commonly provide DBT, whilst the Canadian sample of clinicians most commonly provide case management. More Canadian clinicians provided individual

treatment, whilst more Australian clinicians provided combined treatment. Both Australian and Canadian samples of clinicians most commonly felt that DBT is the most optimal treatment, and that combined group and individual, long-term treatments are optimal.

Methodological and sample differences between these studies may in part contribute to these discrepancies. In the current study, it should be noted that participants completed the questionnaire in a face-to-face setting, and were a specific sample interested and experienced in working with personality disorders and thus had interest in attending the conference. Ogrodniczuk et al. (2009) sent the questionnaire via email to all clinicians working in community mental health centres and outpatient clinics in the largest health authority in British Columbia, with a 43% response rate. It would be expected, however, that those who responded to the Canadian survey were more interested and involved in personality disorder treatment. Despite the differences in recruitment strategy, it should be noted that the profile of clinicians sampled was highly similar, with the Australian sample predominantly working in community mental health facilities and outpatient clinics (88.3%) which was the source of the Canadian sample. Both samples appeared to have similar exposure to personality disorder clients in their case-loads. Despite this, variations between Australian and Canadian sample recruitment may account for some differences in the results found by the current study in comparison to Ogrodniczuk et al. (2009).

Interestingly, similar results have been found in studies of service use in other countries. Price et al. (2009) investigated the opinions of service providers, users, carers and commissioners in 11 new community-based services in English regions. Crawford et al. (2007) investigated the service delivery and organisation of 11 pilot community mental health services for personality disorder in England. These studies found that participants believed treatment should be long-term, and that service providers need to remain informed about personality disorder and treatment.

This study highlights a significant gap between current practices and perceptions of optimal practice, particularly for borderline personality disorder and particularly in the provision of longer-term structured therapies in comparison to crisis services and unspecified case management. It further highlights a gap in research on treatments for personality disorders other than borderline personality disorder. This research indicates a need for current treatment guidelines for clinicians to utilise in treatment of personality disorder, as well as continued training to ensure clinicians have current knowledge. Services also need to be equipped to support implementation of up-to-date evidence-based treatments. Future research may seek to further monitor these trends across different countries and services, to foster understanding of the impact of research on provision of optimal treatments for personality disorders.

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Table 1. Demographic details of mental health clinicians surveyed

	N	M	SD	Range
Age	60	43.66	10.61	26-65
Years qualified in occupation	60	12.20	7.93	2.5-38
Years of experience in working with personality disorders	60	8.93	6.26	2.5-30

	Response categories	n	%
Gender (N=60)	Male	14	23.3%
	Female	46	76.7%
Place of Birth (N=60)	Australia	45	75.0%
	Other	15	25.0%
First language (N=60)	English	50	83.3%
	Other	10	16.7%
Current Employment (N=60)	Full-time	43	71.7%
	Part-time	17	28.3%
Occupation (N=60)	Psychiatrist	3	5.0%
	Psychologist	22	36.7%
	Clinical Psychologist	25	41.7%
	Social Worker	8	13.3%
	Counsellor	1	1.7%
	Mental Health Nurse	1	1.7%
Sector of work (N=60)	Private	7	11.7%
	Public/NSW Health	36	60.0%
	Both	17	28.3%

Table 2. Clinicians' provision, opinions and perceptions of personality disorder treatment (Note *p<.05).

Question	Response Categories	N	n	%	Question	Response Categories	N	n	%		
Treatment provided					Perceptions of current level of care						
Please identify the treatment your service provides for people with personality disorders	Crisis management	212 ^a	39	18.4%	Please rate the availability of treatment for people with personality disorders	Excellent	58	1	1.7%		
	Case management		33	15.6%		Good		3	5.2%		
	Dialectical Behaviour Therapy		52	24.5%		Fair		32	55.2%		
	Cognitive Behaviour Therapy		35	16.5%		Poor		22	37.9%		
	Psychodynamic therapy		18	8.5%	Please identify the most significant barrier to treatment for people with personality disorders	Lack of resources	51	26	51.0%		
	Supportive psychotherapy		35	16.5%		Lack of clinician confidence treating personality disorder		5	9.8%		
What format of treatment do you provide for people with personality disorders?	Individual	60	20	33.3%		Stigma regarding personality disorders		13	25.5%		
	Group		2	3.3%		Lack of education or support for clinicians		7	13.7%		
	Combined group & individual		38	63.3%	Please rate the level of confidence you have with regard to treating people with personality disorders	Very confident	57	10	17.5%		
What is the typical length of the treatment for people with personality disorders that you provide?	Brief (1-10 sessions)	60	3	5.0%		Quite confident		24	42.1%		
	Short-term (11-40 sessions)		8	13.3%		Somewhat confident		22	38.6%		
	Long-term (more than 40 sessions)		26	43.3%		Not at all confident		1	1.8%		
	Varies (depends on particular client/ presentation)		23	38.3%	Do you believe that treatment of people with personality disorders should be a high priority within the health system?	Yes	60	58	96.7%		
Opinion regarding optimal treatment				No			2	3.3%			
What type of treatment do you think is the optimal treatment for people with personality disorders?	Crisis management	51	3	5.9%		Is there a need for more training on the treatment of people with personality disorders?	Yes	60	59	98.3%	
	Case management		4	7.8%			No		1	1.7%	
	DBT		33	64.7%			Would you be willing to participate in training workshops on the treatment of people with personality disorders?	Yes	57	56	98.2%
	CBT		0	0.0%				No		1	1.8%
	Psychodynamic therapy		9	17.6%	What do you believe is the optimal treatment length for treatment of people with personality disorders?						
	Supportive psychotherapy		2	3.9%							
What format of treatment do you believe is optimal for treating people with personality disorders?	Combined group & individual	55	50	90.9%							
	Group		0	0.0%							
	Individual		4	7.3%							
	Either group or individual		1	1.8%							
	Not sure		0	0.0%							
What do you believe is the optimal treatment length for treatment of people with personality disorders?	Brief (1-10 sessions)	58	0	0.0%							
	Short-term (11-40 sessions)		4	6.9%							
	Long-term (more than 40 sessions)		36	62.1%							
	Varies (depends on particular client/ presentation)		18	31.0%							
	Not sure		0	0.0%							

Note: ^aClinicians could choose multiple responses if they provided multiple treatments for personality disorders within their service.

Table 3. Comparison of Treatment provided by clinicians to what they believe is optimal for treatment of personality disorders (Note * $p < .05$).

	N	n	%	N	n	%	z	p
			Clinicians Providing			Clinicians who believe optimal		
Treatment Type	60			51				
Crisis management		39	65.0%		3	5.9%	6.40	.000*
Case management		33	55.0%		4	7.8%	5.25	.000*
Dialectical Behaviour Therapy		52	86.7%		33	64.7%	2.72	.007*
Cognitive Behaviour Therapy		35	58.3%		0	0.0%	6.59	.000*
Psychodynamic therapy		18	30.3%		9	17.6%	1.51	.130
Supportive psychotherapy		35	58.4%		2	3.9%	6.60	.000*
Treatment Format	60			54				
Individual		20	33.3%		4	7.3%	3.44	.001*
Group		2	3.3%		0	0.0%	1.35	.176
Combined group & individual		38	63.3%		50	90.9%	-3.72	.000*
Treatment Length	60			58				
Brief (1-10 sessions)		3	5.0%		0	0.0%	1.73	.084
Short-term (11-40 sessions)		8	13.3%		4	6.9%	1.16	.247
Long-term (more than 40 sessions)		26	43.3%		36	62.1%	-2.04	.042*
Varies (depends on particular client/ presentation)		23	38.3%		18	31.0%	0.83	.405